

DOCKET NO.: TJU-2858



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Scott A. Waldman

Confirmation No.: Not Yet Assigned

Application No.: 10/621,684

Group Art Unit: Not Yet Assigned

Filing Date: July 17, 2003

Examiner: Not Yet Assigned

For: ST RECEPTOR BINDING COMPOUNDS AND METHODS OF USING THE SAME

DATE OF DEPOSIT: *Aug. 18, 2003*

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

Elizabeth A. McLoud

TYPED NAME: Elizabeth A. McLoud

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with the first or second After Final Submission, therefore:
 - Certification in Accordance with § 1.97(e) is attached; or
 - The fee of \$180.00 as set forth in § 1.17(p) is attached.
- In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:
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- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

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EXCEPT THAT:

In view of the voluminous nature of reference 4, and the likelihood that this reference is available to the Examiner, copies are not enclosed herewith.

In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:

Copies of references **1-55 and 91** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **08/141,892**, filed **October 26, 1993 now U.S. Patent No. 5,518,888**; copies of references **56-59 and 92-97** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **08/305,056**, filed **September 13, 1994 now U.S. Patent No. 5,601,990**; copies of references **60-84, 90, 98-110 and 133** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **08/468,449**, filed **June 6, 1995**; copies of references **85-89** listed on the attached Form PTO-1449 were previously cited by

or submitted to the Patent and Trademark Office in prior Application No. **08/467,920**, filed **June 6, 1995** now U.S. **Patent No. 5,962,220**; copies of references **111-132** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **08/583,447**, filed **January 5, 1996**.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Date: 8/18/03

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Docket No. TJU-2858	Application No. 10/621,684
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
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* A copy of this reference will not be forwarded to the U.S. Patent and Trademark Office since it is believed to be too voluminous and easily obtainable by the Examiner.



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Docket No. TJU-2858	Application No. 10/621,684
		Applicant Scott A. Waldman	
U.S. Department of Commerce Patent and Trademark Office		Filing Date July 17, 2003	Group Not Yet Assigned
		Confirmation No. Not Yet Assigned	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	91	5,160,723	11/3/92	Welt et al.	424	1.1
	92	4,584,268	04/1986	Ceriani et al.	436	504
	93	4,683,195	07/28/87	Mullis et al.	435	6
	94	4,683,202	07/28/87	Mullis et al.	435	91
	95	4,965,188	10/23/90	Mullis et al.	435	6
	96	5,075,216	12/24/91	Innis et al.	435	6
	97	5,237,051	8/17/93	Garbers et al.	530	350
	98	5,518,888	5/21/96	Waldman	435	7.23
	99	5,601,990	02/1997	Waldman	435	7.23
	100	4,845,200	07/1989	Cullinan, et al.	530	391
	101	5,057,313	10/1991	Shih, et al.	424	85.91
	102	4,828,831	05/1989	Hannart, et al.	424	92
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	104	4,499,080	02/1985	Duflot, et al.	514	12
	105	6,268,159	07/2001	Waldman	435	7.23
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108	5,593,825	01/14/97	Carmen, et al.		
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110	4,659,666	04/21/87	May, et al.	435	188
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113	5,000,935	03/1991	Faulk	424	1.69
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121	5,270,170	12/1993	Schatz, et al.	435	7.37
122	5,288,514	02/1994	Ellman	427	2
123	5,324,483	06/1994	Cody, et al.	422	131
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	125	5,340,474	08/1994	Kauvar	210	198.2
	126	5,366,382	11/1994	Venton, et al.	435	7.1
	127	5,384,261	01/1995	Winkler, et al.	436	518
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	132	5,424,186	06/1995	Fodor, et al.	435	110

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
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